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LETTER TO THE EDITOR

Efficient quantisation scheme for the anisotropic Kepler problem

D Wintgen, H Marxer and J S Briggs

Fakultät für Physik, Albert-Ludwigs-Universität, Hermann-Herder-Strasse 3, D-7800 Freiburg i Br, Federal Republic of Germany

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Abstract. We have developed an efficient quantisation scheme for highly excited states of the anisotropic Kepler problem. This applies to the level spectrum of a donor impurity in semiconductors. Results for silicon and germanium are presented.

In this letter we report on an efficient calculation scheme for highly excited states of the anisotropic Kepler problem. This applies to level spectra of donor impurities in semiconductors. These systems are very accurately described by the Hamiltonian [1-3]

$$H_0 = -\frac{\hbar^2}{2m_\perp} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) - \frac{\hbar^2}{2m_\parallel} \frac{\partial^2}{\partial z^2} - \frac{e^2}{Kr}.$$
 (1)

Here K is the dielectric constant. Measuring length in units of the Bohr radius $a_0 = \hbar^2 K/m_{\perp}e^2$ and energies in units of the Rydberg energy $E_{\rm R} = m_{\perp}e^4/2\hbar^2K^2$ we arrive at the dimensionless Hamiltonian

$$H = -\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \gamma \frac{\partial^2}{\partial z^2}\right) - \frac{2}{r}$$
(2)

where $\gamma = m_{\perp}/m_{\parallel}$ is the mass ratio. Experimental information on γ was derived from cyclotron resonances [4, 5] and typical values are $\gamma = 0.2079$ for silicon and $\gamma = 0.05134$ for germanium. Good quantum numbers are the azimuthal quantum number *m* and parity π , but no further reduction of variables is possible and the resulting Schrödinger equation is two dimensional and non-separable. The eigenvalue problem for the lowest nine states in a given $m^{\pi_{-}}$ subspace has been tackled by Faulkner [1] with a hydrogen-like basis expansion. Here we will use a scaling property of the Hamiltonian and an expansion in a complete set of Sturmian functions.

The Sturmian functions are defined as

$$\langle \mathbf{r} | nlm \rangle = \frac{1}{r} \phi_n^l(r; \lambda) Y_{lm}(\theta, \varphi)$$
 (3a)

with

$$\phi_n^l(r;\lambda) = \left(\frac{n!}{(2l+n+1)!}\right)^{1/2} \exp(-\lambda r/2)(\lambda r)^{l+1} L_n^{2l+1}(\lambda r)$$
(3b)

where $Y_{lm}(\theta, \varphi)$ are the usual spherical harmonics and L_n^{2l+1} are Laguerre polynomials [6]. λ represents a free parameter and can be chosen arbitrarily. The Sturmians are

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L965

eigenfunctions of the Kepler Hamiltonian (hydrogen atom) if 2λ equals an orbital quantum number *n*. Note that the Sturmians are orthonormal with respect to the weight function 1/r

$$\langle n'l'm'|1/r|nlm\rangle = \delta_{nn'}\delta_{ll'}\delta_{mm'}.$$
(4)

Matrix elements of the unit (Id) and of the Laplace operator are easy to derive, whereas the calculation for $\partial^2/\partial z^2$ is tedious. They are given by

$$\langle n'l'm'| \mathrm{Id} | nlm \rangle = \delta_{ll'} \delta_{mm'} \frac{1}{\lambda} \begin{cases} 2(n+l+1) & n'=n \\ -[(n+1)(2l+m+2)]^{1/2} & n'=n+1 \end{cases}$$
 (5)

$$\langle n'l'm'|\Delta|nlm\rangle = (-1)^{n+m'+1} \frac{1}{4} \lambda^2 \langle n'l'm'|\mathrm{Id}|nlm\rangle$$
(6)

 $\langle n'l'm'|\partial^2/\partial z^2|nlm\rangle = \frac{1}{3}\langle n'l'm'|\Delta|nlm\rangle$

$$-\sqrt{\frac{2}{3}}(-1)^{l'-m'} \begin{pmatrix} l' & 2 & l \\ -m' & 0 & m \end{pmatrix} \langle n'l' \| [\nabla \nabla]^{(2)} \| nl \rangle.$$

$$(7a)$$

For the reduced matrix elements $\langle n'l' \| [\nabla \nabla]^{(2)} \| nl \rangle$ we need the radial integrals

$$J_p(n'l'; nl) = \int_0^\infty \mathrm{d}r \,\phi_{n'}^{l'} r^{-p} \phi_n^l \qquad p = 0, 1, 2; \, l' = l, \, l+2. \tag{7b}$$

They are given by the numerically convenient expressions

$$J_{2}(n'l; nl) = \lambda (2l)! \left(\frac{n'!n!}{(2l+n'+1)!(2l+n+1)!} \right)^{1/2} \frac{(2l+1+\min(n,n'))!}{(\min(n,n'))!}$$
(7c)

$$J_{p}(n'_{1}l+2; nl) = \lambda^{p-1} \left(\frac{n'!}{n!(2l+n'+5)!(2l+n+1)!} \right)^{1/2} (2l+n+4-p)! \binom{n'-n+3}{n'-n+3-p}$$

$$\times \sum_{\nu=\max(0,n-n')}^{\min(n,3-p)} (-1)^{\nu} \frac{(n'-n+\nu+1)_{3-p-\nu}}{(n'-n+p+\nu+1)_{3-p-\nu}}$$

$$\times \binom{3-p}{\nu} \frac{(n-\nu+1)_{\nu}}{(2l+n+5-p-\nu)_{\nu}}$$
(7d)

the remaining ones being given by (4) and (5). Thus we arrive at the following matrix equation:

$$\left[-\lambda(\vec{\Delta}) + (1-\gamma)\lambda(\vec{\partial^2/\partial z^2}) - 2(\vec{1/r})\right]\boldsymbol{\psi} = (E/\lambda)(\vec{1d})\boldsymbol{\psi}$$
(8)

where the matrices are real symmetric. They can be ordered to be banded and sparse. Because the dependences on γ and λ factor out, the matrices have to be computed, in principle, only once and can then be used for diagonalisations with arbitrary γ and λ . Equation (8) represents a generalised eigenvalue problem, but it can be reduced to a standard one. Therefore we divide (8) by λ and put the Coulomb potential matrix into the right-hand side, which yields

$$[-(\vec{\Delta}) + (1 - \gamma)(\vec{\overline{\partial^2/\partial z^2}}) - (E/\lambda^2)(\vec{\overline{1d}})]\boldsymbol{\psi} = (2/\lambda)(\vec{\overline{1/r}})\boldsymbol{\psi} = (2/\lambda)\boldsymbol{\psi}.$$
(9)

Because of (4), the $(\overline{1/r})$ matrix is the identity matrix. We can now choose a particular value ε of E/λ^2 and diagonalise the resulting matrix of the left-hand side which gives the eigenvalues λ . The energies are then given by $E = \varepsilon \lambda^2$. For calculations in a restricted Hilbert space (truncated basis) the quantity ε plays the role of a variational parameter. However, if the basis size is chosen large enough, the calculated energy

spectra become independent of the particular choice of ε because the (untruncated) basis set is always complete. Compared to (8), (9) represents a much more powerful computational scheme. Note that for $\varepsilon = -\frac{1}{4}$ each basis state included is already a first-order eigenstate (in $\gamma - 1$) of the antisotropic Kepler problem in contrast to the case where we fix the Sturmian parameter λ and diagonalise the matrix equation (8), as is usually done in the literature. However we still diagonalise in a *complete* basis, in contrast to the case where we diagonalise the Hamiltonian in the bound-state hydrogen basis! Note that the use of such scaling methods is always applicable if the Hamiltonian consists of a sum of homogenous parts only (the homogeneity may, however, differ). Recently, a breakthrough in the theoretical treatment of the diamagnetic Kepler problem (hydrogen atom in a uniform magnetic field) has been made possible [7-11] by the use of similar scaling techniques.

Including all states with n + 1 < 10 gives rise to small matrices of order 30. Choosing the free parameter ε appropriately we are able to improve the results of Faulkner [1] in that our eigenvalues are consistently lower. We then diagonalised the Hamiltonian in a very large basis, where all states with n + 1 < 75 were included ($\varepsilon = -0.01$ used). The matrix size was 1406 with a bandwidth of 78. Such calculations need only a few minutes on a Sperry 1182. Tables 1 and 2 give the results for silicon and germanium,

Table 1. Effective-mass binding energies of donor levels in silicon ($\gamma = 0.2079$). Energies are given in units of $E_{\rm R}$. Convergence is obtained in all digits quoted. Column 3 gives the quantum mechanical result of Faulkner [1], column 4 the semiclassical results of Gutzwiller [3]. The notation of the states is taken from [1].

1S	1.567 51	1.568	1.457	2P ₀	0.576 20	0.577	0.526	$2P_{\pm}$	0.321 00	0.321
2S	0.444 13	0.443	0.419	3P ₀	0.275 06	0.275	0.256	$3P_{\pm}$	0.156 44	0.156
3S	0.239 55	0.238	0.232	$4P_0$	0.165 95	0.167	0.156	$4P_{\pm}$	0.109 66	0.110
$3D_0$	0.188 08	0.188	0.183	$4F_0$	0.117 29	0.117	0.115	4F _±	0.094 99	0.095
4S	0.146 07	0.143	0.141	$5P_0$	0.112 07	0.112	0.108	$5P_{\pm}$	0.072 68	0.072
$4D_0$	0.107 43	0.106	0.106	$5F_0$	0.081 79	0.081	0.082	$5F_{\pm}$	0.063 16	0.064
5S	0.096 77	0.094	0.094	6P ₀	0.075 71	0.076	0.075	$6P_{\pm}$	0.053 69	0.052
$5D_0$	0.077 62	0.076	0.077	$6F_0$	0.062 33	0.060	0.066	6F _∓	0.050 26	0.049
5G ₀	0.073 20	0.069	0.072	6 H o	0.055 26	0.055	0.055	$6H_{\pm}$	0.044 45	0.044
6S ₀	0.064 22		0.063	7Po	0.050 32		0.050	$7P_{\pm}$	0.041 28	
6D ₀	0.058 37		0.057	$7F_0$	0.049 21			7F.	0.037 61	
6G	0.052 45		0.052	$7H_0$	0.042 23			$7H_{\pm}$	0.033 98	

Table 2. Same as table 1, but for germanium ($\gamma = 0.051$ 34). Column 3 gives the results of Faulkner [1].

1S	2.092 64	2.087	2P0	1.015 87	1.008	2P_	0.367 81	0.368
2S	0.765 61	0.749	3P0	0.550 37	0.545	3P_	0.221 79	0.219
3S	0.458 08	0.428	$4P_0$	0.361 27	0.355	4P	0.160 55	0.155
3D ₀	0.316 29	0.285	$4F_0$	0.260 30	0.247	$4F_{\pm}$	0.129 81	0.130
4S	0.257 85	0.249	$5P_0$	0.198 55	0.179	5P_	0.122 72	0.113
$4D_0$	0.228 82	0.185	5Fo	0.171 17	0.170	5F _±	0.100 05	0.087
5S	0.179 91	0.153	6Po	0.157 29	0.130	6P _±	0.085 37	0.081
$5D_0$	0.148 80	0.130	6F ₀	0.128 40	0.117	6F _±	0.082 39	0.068
5G0	0.141 72	0.113	6H _o	0.119 01	0.085	6H_+	0.076 04	0.062
6S ₀	0.119 34		7 Po	0.106 99		7P_	0.067 20	
6D ₀	0.109 04		$7F_0$	0.091 84		$7F_{\pm}$	0.062 09	
6G ₀	0.099 52		$7H_0$	0.090 57		7H _±	0.060 54	
-								

respectively, together with the results obtained by Faulkner [1] and Gutzwiller [3]. The agreement of Gutzwiller's results, which were derived with classical periodic orbit theory [2], with our converged quantum calculations for excited states is remarkable.

Although it seems that the results shown in the tables are obtained by 'brute force' methods they can in fact be calculated quite accurately with small basis expansions. We point out that the great advantage of the method is not only the high accuracy of the low-lying levels, but the convergence of many hundreds of excited states not listed in the tables. This will allow us to make a statistical analysis of the spectra. This seems to be interesting because the classical motion is ergodic, independent of how small the deviation of γ from the integrable case $\gamma = 1$ is [2, 3]. However, trajectory calculations, not presented in this letter, show that the classical motion is confined to partly destroyed tori, so-called vague tori [12] or cantori [13], most of the time. The quantum behaviour of such systems is a topical subject of recent research studies [14]. Work in this direction is in progress.

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